Temperature-Dependent Interplay of Dzyaloshinskii-Moriya Interaction and Single-Ion Anisotropy in Multiferroic BiFeO$_3$

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Low-energy magnon excitations in multiferroic BiFeO$_3$ were measured in detail as a function of temperature around several Brillouin zone centers by inelastic neutron scattering experiments on single crystals. Unique features around 1 meV are directly associated with the interplay of the Dzyaloshinskii-Moriya interaction and a small single-ion anisotropy. The temperature dependence of these and the exchange interactions were determined by fitting the measured magnon dispersion with spin-wave calculations. The spectra best fit an easy-axis type magnetic anisotropy and the deduced exchange and anisotropy parameters enable us to determine the anharmonicity of the magnetic cycloid. We then draw a direct connection between the changes in the parameters of spin Hamiltonian with temperature and the physical properties and structural deformations of BiFeO$_3$.

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Multiferroic compounds with phase transitions arising from the two otherwise unrelated order parameters of magnetic moment and electric polarization [1] have attracted huge interest with prime examples being hexagonal manganites and BiFeO$_3$ [2–5]. Of the several multiferroic materials, BiFeO$_3$ is the only compound that exhibits multiferroicity above room temperature [6] with a ferroelectric transition at $T_C \sim 1100$ K and an antiferromagnetic transition at $T_N \sim 650$ K. It is an excellent candidate for magnetoelectric devices working at room temperature with a large electric polarization $P \sim 100 \mu$C/cm$^2$. Below $T_N$, an incommensurate cycloid magnetic structure is formed along the [1, 1, 0] direction in the hexagonal notation with an extremely long period of 620 Å [7]. In addition, the incommensurate magnetic structure is further canted out of the cycloid plane [8], which was recently pointed out to be closely related to the magnetoelectric coupling mechanism [9].

Although it was realized early on that the microscopic interactions revealed by measurements of the spin dynamics are important to understand the complex magnetic structure and its coupling to the lattice [10], magnon excitations in BiFeO$_3$ have only been studied recently. Initial attempts were made using the Monte Carlo method [11,12] and terahertz spectroscopy [13], while we reported the full spin wave dispersion measured by inelastic neutron scattering (INS) described by a spin Hamiltonian including two exchange interactions and the Dzyaloshinskii-Moriya (DM) interaction [14]. Further INS measurements additionally determined the single-ion anisotropy (SIA) [15]. A further detailed theory was proposed to explain the spectroscopic modes seen in terahertz spectroscopy and INS by a spin Hamiltonian including the DM interaction along two directions and the SIA [16,17].

Despite the experimental and theoretical works [11–17], the detailed features of magnon excitations at low energy have not been fully examined by experiments, nor have the thermal variations of the DM interaction and the SIA; most importantly, a complete discussion of magnetic easy-axis or easy-plane anisotropy still remains unexplored. One should note that the precise determination of the temperature-dependent magnetic parameters such as the exchange and the DM interaction and the type of magnetic anisotropy are crucial to a full and microscopic understanding of BiFeO$_3$. In particular, this information, if determined accurately, addresses directly the key questions of BiFeO$_3$. We demonstrate here that the interplay of the DM interaction and the SIA is essential to understanding the magnon excitations in BiFeO$_3$ at low energy, an analysis of which provides the values of these parameters at various temperatures.

BiFeO$_3$ has a rhombohedral structure with space group $R\overline{3}c$, $a = 5.573$, and $c = 13.842$ Å. An assembly of eight single crystals of total mass 1.6 g, grown by the flux method, was prepared by coaligning them within 3°. Inelastic neutron scattering experiments were twice performed using the
cold-neutron triple axis spectrometer 4F2 at Laboratoire Léon Brillouin in France. The sample was mounted with the $b$ axis normal to the horizontal scattering plane of the spectrometer, i.e., in the $a^*c^*$ plane. First, measurements were carried out at various temperatures and Brillouin zone centers using the $k_f$-fixed mode with $k_f = 1.55$ Å$^{-1}$. A Be filter was installed to remove higher neutron harmonics of the scattered beam. The contour maps of the neutron intensity as a function of energy and $Q$ along $(h, 0, -1)$ in Figs. 1(a)–1(c) were obtained from successive constant-energy $q$ scans centered on $q = (1, 0, -1)$ and $(0, 0, 3)$ along the $[h, 0, 0]$ direction at $T = 16$ and 270 K. The constant-momentum $E$ scans in Fig. 1(d) were collected at different Brillouin zone centers $q = (1, 0, -1), (0, 0, 3)$, and $(1, 0, 5)$ with $T = 270$ K. To examine the temperature dependence, $E$ scans at $q = (1, 0, -1)$ were measured at $T = 16, 50, 100, 200$, and 270 K as shown in Fig. 4(a). In order to examine the feature around $E = 1$ meV in detail, we performed another experiment with a smaller final neutron momentum $k_f = 1.2$ Å$^{-1}$ for better resolution. The $q$-$E$ map along the $[h, 0, 0]$ direction and $E$ scans below 4 meV were measured at $q = (1, 0, -1)$ and $T = 270$ K as shown in Figs. 1(e) and 1(f). In all cases, the measured intensities were corrected by the thermal population Bose factor to extract the imaginary part of the generalized magnetic susceptibility $\text{Im}[\chi(q, \omega)]$.

Although a small modulation exists, the magnetic ground state of BiFeO$_3$ is basically $G$-type antiferromagnetic where nearest-neighbor spins are antiparallel, such that a typical $V$-shaped dispersion was expected at low energy. However, an unusual islandlike shape was found in the $Q$-$E$ maps at low energy transfer, $E \sim 1$ meV, as indicated in Fig. 1. This corresponds to a peak and a dip in $E$ scans at the zone center. We confirm that these unique features can be explained only by the mode coupling caused by the interplay of the DM interaction and the SIA.

We previously presented a spin Hamiltonian with nearest and next-nearest neighbor exchange interactions and a DM interaction along [1, 1, 0] in Ref. [14]. In order to explain the low-energy feature, another DM term along the $c$ axis and a SIA term were also considered, as discussed in Refs. [15–17],

$$
\mathcal{H} = \sum_{r, \alpha} \mathbf{S}_r \cdot \mathbf{S}_r + J' \sum_{r, \beta} \mathbf{S}_r \cdot \mathbf{S}_{r+\beta} - D_v \sum_r \hat{\mathbf{v}} \cdot (\mathbf{S}_r \times \mathbf{S}_{r+\hat{\mathbf{v}}})
- D_c \sum_r (-1)^{\hat{\mathbf{v}} \cdot \hat{\mathbf{c}}} \hat{\mathbf{c}} \cdot (\mathbf{S}_r \times \mathbf{S}_{r+(\hat{\mathbf{c}}/2) \hat{\mathbf{c}}}) - K \sum_r (\mathbf{S}_r \cdot \hat{\mathbf{c}})^2,
$$

where $\mathbf{S}_r$ is the spin-5/2 operator at the position $r$, and $\mathbf{u}$ and $\mathbf{v}$ are the unit vectors along the directions [1, −1, 0], [1, 1, 0], and [0, 0, 1], respectively. In the first two terms for the exchange interaction, $\mathbf{a}$ and $\mathbf{b}$ are displacement vectors for the nearest and next-nearest neighbors, respectively. The third and fourth terms originate from the DM interaction induced by a distortion of the Fe-O-Fe bond. This can be effectively separated into two terms, one which acts along $\hat{\mathbf{v}}$ with a chiral vector $\mathbf{D}_u = D_u \mathbf{u}$ and another along the $c$ axis with an alternate chiral vector $\mathbf{D}_c = (-1)^{\hat{\mathbf{c}} \cdot \hat{\mathbf{v}}} D_c \hat{\mathbf{c}}$. The last term in Eq. (1) describes the SIA along the $c$ axis. Using this Hamiltonian, we calculated the full dispersion curve of spin waves by using linear spin wave theory.

We now examine five models with different parameters for $J, D_u, D_c$, and $K$. Model 1 is the simplest, with only exchange interaction terms ($D_u = D_v = K = 0$). Model 2 includes the main DM term along [1, 1, 0] ($D_u > 0$, $D_c = K = 0$) as well, which gives the long period magnetic cycloid. In model 3, the additional DM term along the $c$ axis is included ($D_u > 0$, $D_c > 0$, $K = 0$), which causes a small tilting of the magnetic cycloid plane around the $c$ axis. Model 4 contains a small easy-axis SIA instead of the second DM term ($D_u > 0$, $D_c = 0$, $K > 0$). In contrast, a small easy-plane SIA is considered ($D_u > 0$, $D_c = 0$, $K = 0$) in model 5.
whereas these are split by the Primakoff boson operators as discussed in Ref. [14]. The magnon dispersion relation \( \omega(q, \omega) \) and dynamical structure factor \( S(q, \omega) \) were calculated for each model using the Holstein-Primakoff boson operators as discussed in Ref. [14].

Theoretical spectra along the \([h, 0, 0]\) direction are given in the upper panels of Fig. 2(a). In model 1, three modes detected by different components of \( S(q, \omega) \) are degenerate whereas these are split by the \( D_u \) term in model 2. The additional DM term \( D_c \) mixes the modes at the wave vectors \( q \) and \( q \pm Q_m \) (with \( Q_m = [0.0045, 0.0045, 0] \) being the incommensurate vector) and the energy dispersions are folded in a complicated way. However, the mixing amplitude vanishes asymptotically in the low-energy limit, and there is no noticeable difference in the low-energy spectrum. On the other hand, the SIA in models 4 and 5 makes significant changes; it appreciably mixes the modes at \( Q \) and \( Q + 2Q_m \) even at low energies, and the folded spectrum shows an energy gap at the zone center as indicated.

For a direct comparison with the experimental data, a theoretical simulation was performed for the dynamical magnetic susceptibility \( \text{Im} \left[ \chi(q, \omega) \right] \) convoluted with the instrumental resolution function. Taking the resolution matrices at \( E = 3 \) meV for \( k_f = 1.55 \) Å\(^{-1}\) and at \( E = 1 \) meV for \( k_f = 1.2 \) Å\(^{-1}\) as representative, \( \text{Im} \left[ \chi(q, \omega) \right] \) was numerically calculated with \( 10^6 \) \( q \) points sampled in a Gaussian distribution defined by the resolution ellipsoids and summed. The resolution ellipsoid in the \( q-E \) plane along \([h, 0, 0]\) is shown schematically together with the dispersion curves in Fig. 2(b). In the lower panels of Fig. 2(a), the complex mode mixing and the gap in model 4 reproduce the unique islandlike shape very well. The difference is more obvious in the simulated \( E \)-scan scan in Fig. 2(c). The characteristic peak at low energy appears only with coexistence of the DM interaction \( D_u \) and the easy-axis SIA \( K \). In model 5, we repeated the calculation for the case of easy-plane magnetic anisotropy, which noticeably fails to reproduce the island-type low energy excitation.

In order to determine the parameters of the Hamiltonian (1), we examined the effect of \( J, D_u, \) and \( K \) on the simulated \( E \)-scan results. To simplify the problem, the ratio of \( J \) to \( J' \) is fixed and their values at 16 K are taken from our previous Letter: \( J = 4.38, J' = 0.15 \) meV, where we used the effective spin length \( S_{\text{eff}} = \sqrt{\frac{2}{5}} (S + 1) \) [14]. When comparing our values with that in Ref. [15] one should convert from our use of the effective \( S \) value \( (S_{\text{eff}}) \) to just \( S \). The effects of varying \( J/J_0, D_u, \) and \( K \) are shown in Figs. 3(a)–3(c): \( J_0 \) is the value at 16 K. \( J/J_0 \) scales the intensity of the \( E \) scan, \( D_u \) determines the position of the peak and dip, and \( K \) determines the distance between the peak and dip, i.e., the size of the gap. We determine the best fit parameters for various temperatures: at 16 K \( J = 4.38, J' = 0.15, D_u = 0.109, \) and \( K = 0.0033 \) meV, which are shown with the experimental results in Figs. 3(d)–3(f). We estimate that \( D_c \) is smaller than 0.05 meV, which appears to be 1 order of magnitude smaller than estimated from the tilting angle of cycloid plane reported by Ref. [8]. We think that the small discrepancy between the data and our simulation, in particular the features seen around 4–8 meV in Fig. 3(e), is due to the fact that in our analysis we have used the common approach of approximating the instrument resolution volume by a Gaussian ellipsoid. However, the true

![Fig. 2](color online). (a) Calculated magnon dispersion curves and simulated \( \text{Im} \left[ \chi(q, \omega) \right] \) convoluted with the instrumental resolution function along \([h, 0, 0]\) centered on \( q = (1, 0, -1) \) for different model parameters. (b) Schematic view of the \( q-E \) plane along \([h, 0, 0]\) and resolution ellipsoid (orange). (c) Simulated \( E \) scan at \((1, 0, -1)\) is shown for five different models.

K < 0) in model 5. The small SIA with the DM term produces a slightly anharmonic cycloid due to a modulation of the angle between adjacent spins along the cycloid axis [18,19], which will be discussed shortly.
resolution volume is an irregular polyhedron and as such can encompass additional modes not sampled by our Gaussian approximation, leading to extra peaks in the measured spectrum that are not in the simulations, but which do not represent additional modes.

The position of the peak and dip varies with temperature as shown with the best fit curves in Fig. 4(a). Although the peak is almost constant, the dip energy changes with temperature. From the best fit parameters, the temperature dependence of \( J_S \), \( D_o S \), and \( K S \) was obtained as shown in Fig. 4(b), where \( \tilde{S} \) represents the temperature-dependent normalized moment obtained from Ref. [20].

Encouragingly, it agrees with the structural change that governs each interaction term in the spin Hamiltonian. We note that the decrease of \( J_S \), \( D_o S \), and \( K S \) reflects the temperature dependence of the total moment \( \tilde{S} \). According to neutron diffraction studies, the moment [dashed line in Fig. 4(b)] is reduced by 10% from low to room temperatures, which almost entirely accounts for the observed change in \( J_S \), making \( J \) almost temperature independent.

The DM interaction is proportional to the vector \( r_{Fe} \times r_{O} \) [21], and thus correlates with the Fe-O-Fe bond angle, which increases slightly with temperature [20]. Thus \( D_o \) should decrease with temperature, as observed. The incommensurate magnetic cycloid is nearly harmonic with a period approximately proportional to \( (J - 4J')/D_o \) for a small \( K \). The periodicity \( \lambda_{cycloid} \) calculated using our best parameters is found to be larger than that estimated in Ref. [19].

The SIA \( K \) is thought to be connected to the two structural distortions leading to the acentric \( R3c \) space group: the ferroelectric displacement and the antiferrodistortive rotation. It was recently pointed out by DFT calculations [24] that the exact type of magnetic anisotropy is crucially dependent on the details of the local distortions of the perovskite structure and thus the size of the electric polarization with the ferroelectric displacement favoring an easy-axis anisotropy and the antiferrodistortive rotation inducing an easy-plane anisotropy.
an increase in the Fe–Bi distance, as determined by neutron diffraction [20]. The increasing Fe–Bi distance both reduces the SIA and the electric polarization, which are thus correlated, as shown in Fig. 4(b); the polarization is calculated by using the experimental values as in Ref. [9]. The fine sensitivity of the SIA to small structural changes may also explain the strong suppression of a magnetic domain under a modest uniaxial pressure \( P \approx 7 \text{ MPa} \) [25]. This small pressure could affect the SIA enough to favor the other two cycloid domains, but is unlikely to change the exchange interactions enough to remove the cycloid that way. Furthermore, a large SIA, while not realized in BiFeO\(_3\), could suppress the cycloid leading to a much simpler structure like a \( G \)-type antiferromagnetism.

In summary, we confirm that the interplay of the DM interaction and easy-axis SIA is essential to explain the low-energy magnon spectra of BiFeO\(_3\) measured by inelastic neutron scattering experiments. The values of \( J \), \( D \), and \( K \) were determined at various temperatures by fitting the data, and their temperature dependence is found to be consistent with the structural changes observed by high resolution neutron diffraction [19,20]. Using these experimental results, we uniquely determined the exact type and temperature dependence of the magnetic anisotropy and the magnetic anharmonicity.

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[22] The period of the anharmonic cycloid can be expressed as \( \lambda = 4 / \sqrt{m / \varepsilon K(m)} \) where \( K(m) \) is the complete elliptic integral with the anharmonicity parameter \( m \), and \( \epsilon = 4K(\sqrt{J - 4J'}) / \sqrt{J - 4J'} \). For a fixed \( \lambda \), \( m \) can be estimated numerically.